



B 2100A (Form 2100A) (12/15)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re LEHMAN BROTHERS HOLDING INC.,

Case No. 08-13555(JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banca dello Stato del Cantone Ticino

Name of Transferee

Julius Baer & Co. LTD

Name of Transferor

Name and Address where notices to transferee should be sent:

Banca dello Stato del Cantone Ticino
Via Gen. H. Guisan 5 CH-6501 Bellinzona

Court Claim # (if known): 58786-06

Amount of Claim: See Schedule

Date Claim Filed: 08/18/2009

Phone: 0041.91.803.7299 Attn Snozzi E

Last Four Digits of Acct #: _____

Phone: _____

Last Four Digits of Acct. #: _____

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Banca dello Stato del Cantone Ticino

Transferee/Transferee's Agent

Date: 01/23/2017


S. Weber

E. Snozzi

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Schedule I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN / Description	Court Claim #	Date Claim Filed	Issuer	Nominal Amount
XS0264209387 CAPITAL PROTECTED TWISTER NOTE	58786-06	18 August 2009	Lehman Brothers Treasury Co. BV	CHF 250'000

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Julius Baer & Co. Ltd.** ("Transferor") unconditionally and irrevocably transferred to **Banca dello Stato** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **58786-06**) in the **applicable nominal amount of CHF 250'000** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

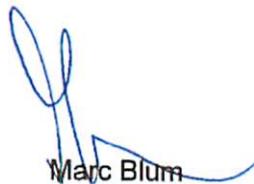
Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED
THIS 29 day of December 2016.

Bank Julius Baer & Co. Ltd.



Fabian Burckhardt



Marc Blum



BANCA DELLO STATO DEL CANTONE TICINO

Sede centrale

Viale H. Guisan 5
Casella postale
CH - 6501 Bellinzona

Tel. +41 91 803 71 11
Fax +41 91 803 71 70

www.bancastato.ch

EPIQ Bankruptcy Solutions, LLC
Attn. Lehman Brothers Holdings
Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017

Taken care of by:

Eliseo Snozzi

Department SCCO

Tel. 0041.901.803.72.99

Bellinzona, January 23, 2017

Vostro rif. TRANSFER OF CLAIM XS0264209387 - LEHMAN BROTHERS SECURITIES
CLAIM 58786-06

Ihre Ref.

Votre réf.

- | | |
|--|---|
| <input type="checkbox"/> <i>per informazione
zur Kenntnisnahme
pour information</i> | <input type="checkbox"/> <i>da ritornare p.f. entro il
bitte zurücksenden bis
à renvoyer s.v.p. jusq'au</i> |
| <input type="checkbox"/> <i>per i vostri atti
zu Ihren Akten
pour vos dossiers</i> | <input type="checkbox"/> <i>di ritorno con ringraziamenti
mit Dank zurück
en retour avec remerciements</i> |
| <input type="checkbox"/> <i>come inteso
gemäss Besprechung
suivant l'accord</i> | <input type="checkbox"/> <i>per la firma/visto
zur Unterschrift/Visum
pour la signature/visa</i> |
| <input type="checkbox"/> <i>telefonare p.f.
bitte anrufen
téléphoner s.v.p.</i> | <input type="checkbox"/> <i>per approvazione
zur Genehmigung
pour approbation</i> |
| <input type="checkbox"/> <i>per il disbrigo
zur Erledigung
pour règlement</i> | <input type="checkbox"/> <i>ricevuto per errore
irrtümlicherweise erhalten
reçu par erreur</i> |
| <input type="checkbox"/> <i>come da vostra richiesta
gemäss Ihrem Wunsch
selon votre demande</i> | <input type="checkbox"/> <i>per una presa di posizione
zur Stellungnahme
pour avis</i> |
| <input checked="" type="checkbox"/> Please find herewith attached the "transfer of claim other than for security" duly filled in and signed by the Transferor Julius Baer & Co. Ltd. and the Transferee Banca dello Stato del Cantone Ticino | |

Distinti saluti

BANCA DELLO STATO DEL CANTONE TICINO

S. Weber

E. Snozzi

Annexes:

URGENT

FILED / RECEIVED
JAN 25 2017
EPIQ SYSTEMS

☒ URGENT Doc
TT 276 704 393 CH
☐ URGENT Merchandise

Ausfuhranmeldung
Declaration d'exportation
Dichiarazione d'esportazione
Export declaration



TT 276 704 393 CH

URGENT



CP 276 704 393 CH



CE 276 704 393 CH

Company Name: **BANCA DELLO STATO DEL CANTONE TICINO**
Street: **VIA GEN. H. GUISAN 5**
Postal Code: **6501** City: **BRILLANZONA**
Country: **CH**
Customer Reference: **034 803 7299**
Payment: **SN022164560**

Contact Company Name: **EPIQ BANKRUPTCY SOLUTIONS LLC**
Street: **ATTORNEYMAN BROTHERS CLAIM PROTECTION**
Postal Code: **757** City: **THIRD AVENUE, 3rd F**
Country: **USA**

Total gross weight: ☐ kg ☐ g
Port of origin / Country of origin: **65-433-5**
Country of destination: **USA**
VAT No.: ☐
Type of contents: ☐ Documents ☐ Merchandise ☐ Present ☐ Returned Goods ☐ Samples ☐ Other
Goods certificate / Type and No.: ☐
Export Clearance E-dec Export: ☐

TNT
276704393
COLL: 01/01
ORIGIN: **LUG** 24.01.17
65-433-5
17024 1848 7060RTN
BNI QUA 170102

EXPRESS (NON DOCS)
PRIORITY
DELIVERY: **GRAND CENTRAL**
A
10017

